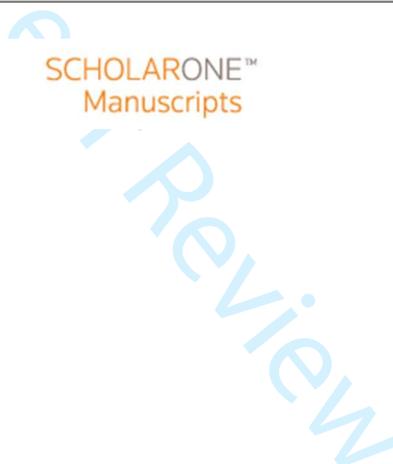


Nutrition & Dietetics

Personal genomic testing for nutrition and wellness in Australia: A content analysis of online information

Journal:	<i>Nutrition & Dietetics</i>
Manuscript ID	Draft
Manuscript Type:	Original Research
Keywords:	Workforce, Qualitative Research, Nutrigenomics/nutrigenetics, Marketing



Introduction

Personal genomic testing for nutrition and wellness (PGT-NG) offers a novel way to tailor dietary advice to an individual. Informed by the evolving study of gene-nutrient interactions, broadly termed nutritional genomics,¹ PGT-NG claims to offer personalised dietary advice that will 'optimise' health.² While promising, in many instances the benefits of PGT-NG have yet to be proven.

Clinical validity refers to the reliability of a test to determine disease-risk based on a specific genetic variation, while clinical utility refers to its ability to inform healthcare decisions; both are key measures of the quality of a genetic test.³ Australian guidelines recommend that healthcare providers should only offer PGT based on nutritional genomics if the test, and related dietary interventions, are sufficiently evidence-based.⁴ To date however, many gene-nutrition relationships lack clinical validity.⁵⁻⁷ Further, the evidence used to provide dietary recommendations often differs between PGT-NG companies, meaning consumers may receive different advice based on the test they take.^{5,8} Many PGT-NG also do not take into consideration the polygenic nature of many non-communicable diseases, nor non-genetic factors contributing to poor health.⁹⁻¹¹ Dietary advice is also rarely cross-culturally validated.^{8,12} As such, Grimaldi et al⁶ recently published a set of guidelines for assessing the clinical validity of gene-nutrition interactions to help ensure only evidence-based information reaches the public. Of further concern, however, the genetic variants included in some PGT-NG also have associations with non-diet related conditions; for example, *APOE-ε4* is related to Alzheimer risk as well as cholesterol regulation.^{13,14} The potential psychosocial implications of *APOE-ε4* genotyping may not necessarily be communicated to consumers prior to having the test conducted, or during delivery of results.^{13,}

The unregulated mass of online promotional content for PGT-NG only perpetuates public misunderstanding of the benefits, limitations and risks of PGT-NG.⁶ Consequently, several key groups, including the Human Genetics Commission in the United Kingdom, have produced recommendations for transparent online advertising for health-related PGT.^{16, 17} Nevertheless, content analyses of PGT websites internationally have revealed that these recommendations are frequently ignored.¹⁸⁻²⁰ Instead, website content is solely emotive, particularly appealing to the notion of consumer empowerment and health transformation.^{21, 22} This starkly contrasts with evidence to suggest that even when given gene-based diets, consumers are unlikely to make lasting changes to their lifestyles as a result.^{23, 24}

Ultimately, this presents an issue for informed content. The purpose of informed consent is two-fold: it protects an individual from harm, while also fostering autonomous choice.^{13, 25} Internationally, health-related PGT are often sold online, direct-to-consumer, negating the need to involve a healthcare provider. This also eliminates the possibility for pre- and post-test counselling and, given that online information may be inadequate to ensure informed consent, consumers may be at risk of distress, false hope or 'buyer-regret'.^{7, 13} In an attempt to minimise this risk, several PGT-NG companies have developed a direct-to-provider model,²⁶ whereby a healthcare provider is trained by the company to facilitate testing for their clients.

Nutritionists and dietitians have been identified as key HPs to offer nutritional genomic advice to clients,²⁷ however, it is unclear how many have incorporated PGT-NG into their practice to date. In Australia, dietitians are considered allied health professionals, and must acquire accreditation with the Dietitians Association of Australia.²⁸ Conversely, the term 'nutritionist', can refer to a variety of nutrition-related HP roles, including those in the field of complementary/alternative medicine (CAM).^{28, 29} As such, PGT-NG may also be of interest to a vast array of HPs outside conventional dietetic practice, including naturopaths and homeopaths.

Thus, despite concerns, HPs are offering PGT-NG in Australia and are promoting their services online. In particular, the phrase 'genomic wellness' appears a popular way of advertising testing in Australia. Research into this promotional rhetoric is lacking however, and, internationally, a holistic analysis of the content, quality and marketing approaches of PGT-NG provider websites has yet to be conducted. The aim of this study was therefore to explore how PGT-NG is framed online to the Australian public.

Method

A mixed-method content analysis was conducted using a framework built in REDCap (Research Electronic Data Capture) version 7.1.2.³⁰

Website searches occurred between February and May 2017. To be eligible, websites needed to offer a PGT-NG service available to Australians, and provide a description of the service (e.g. websites that listed PGT-NG as a service but did not elaborate were excluded). The aim was to mimic the behavior of a potential consumer, and as such, the search terms and search strategy was designed with this in mind.³¹ Popular testing companies were identified as result of the search strategy and subsequently included in the search terms. Thirteen simple terms (genomic wellness, personalised wellness test, MTHFR test, DNA wellness test, MTHFR wellness test, nutritional genomics testing, nutritional genomics Australia, nutrition and wellness test, personal genomic diet, personal genomics nutrition, [Company 1 name] practitioner, [Company 2 name] practitioner, [Company 3 name] practitioner) were entered into Google and Bing, two popular search engines. Internet users rarely look beyond the top 30 search links,³¹ however, to ensure nothing was missed, unique links were collected from the first five pages of each search (ten links per page).

A novel framework was developed to meet the aims of the analysis (see Figure 1). The framework was informed by items proposed in published guidelines^{4, 16, 17} and similar content analyses,^{19, 20, 32}

which were modified for relevance to nutritional genomics. The Flesch Reading Ease³³ formula was used to aid an assessment of website understandability, whereby a 'lay-level' was considered a score between 60 and one hundred. This is freely available online scoring program in which the website text was copied into. The framework was piloted using international websites collected during website searching. This prompted minor changes to aid clarity and usability.

Websites were de-identified and assigned ID numbers. Microsoft Excel 2013 and REDCap were used to generate descriptive statistics, and NVivo 11 (QSR International) was used to collate website text. The text was analysed thematically, using a constant comparative approach.³⁴ Ten percent of the websites were co-analysed by one of the co-authors, with the percentage of inter-rater agreement calculated.

Results

Thirty-nine websites were analysed; four PGT-NG testing company websites (three Australian-owned and one operating internationally) and 35 HP websites. All HP websites belonged to complementary/alternative medicine (CAM) practitioners (such as naturopaths) or clinics. Three naturopaths also referred to themselves as nutritionists, while two of the CAM clinic websites stated that a dietitian would facilitate testing. Only one HP website indicated that a genetic counsellor was available. Interrater agreement was 98%.

While website content varied (Table 1), most provided rationale for testing and a description of the service (e.g. 100% stated who would interpret results and 92% explained how returns would be returned). Only two studies cited specific evidence to support the rationale, and the technical and scientific aspects of PGT-NG were rarely touched upon (e.g. 20% explained the multifactorial nature of health).

Three of the PGT-NG websites of testing companies required a HP to facilitate testing and provided consumer-orientated sections and information for prospective HPs. Company 2 provided

a list of accredited HPs across Australia for the consumer to choose from, and while the majority came from the field of CAM, several HPs were medically trained (e.g. general practitioners). Company 4 offered testing direct-to-consumer and provided the least amount of information (4/17 framework items, 24%), meaning an assessment of quality could not be conducted. Company 1 provided the most detailed information, covering 65% of the framework items, however, the information was riddled with errors, particularly in the section aimed at practitioners. Company 1 was also the only website overall to explain how a genetic variant is considered clinically valid, however again, quality was poor (depth = *average*, accuracy = *somewhat*, exaggeration = *average*, understandability = *average*, credibility = *somewhat*).

Much of the information that appeared on HP websites appeared to have been copied directly from the testing company websites. When HPs attempted to provide information in their own words, quality varied (see Figure 2). In particular, credibility scores dropped due to poor grammar and typographical errors. Even for HP websites targeting consumers, language was not at a lay-level ($M=46.27$ for the Flesch Reading Ease Formula). Information was also highly skewed in favour of testing; all websites listed at least one benefit, while 2/39 described risk and 8/39 explained limitations.

Overall, the tone of the information was positive and motivational, with the most common emotional appeals being empowerment and transformation. HP22 wrote, "*Knowing your genetic blue-print can empower you to make the best lifestyle choices to optimise your genetic expression for optimal health.*" Images were used to complement the textual material by 34 websites. For example, healthy, smiling individuals implied the benefits of testing.

The textual material was synthesised into major themes:

PGT-NG is a superior tool for facilitating wellness: Websites emphasised this by using the metaphor of PGT-NG being able to ‘unlock’ health information. HP1 stated, *"It is the closest thing you have to a crystal ball for your future health."*

PGT-NG will reduce the guesswork around diet and lifestyle choices: Websites promised that results would inform not only highly specific, but also easy to implement recommendations that would improve health. HP11 claimed, *"You will be provided with practical, easy to understand information on how you can modify your diet and lifestyle according to your individual genetic profile to optimize your health."*

PGT-NG is relevant to healthy people: Language such as ‘maximise’ health, and ‘reach your full potential’ implied that even though the consumer may think they are healthy, there is more that could be done to take health to the ‘next level’. HP31 wrote, *"We specialise in Nutrigenomic DNA testing and can use the information to help structure a plan to help you reach your full health potential."*

Discussion

Findings reveal that online PGT-NG information does not adequately communicate the complexities of nutritional genomics, including issues of clinical validity and utility. Websites emphasised genetic determination and the superiority of PGT-NG over other forms of dietary advice. Little attention was paid to the science of nutritional genomics and the current limitations of its application. This aligns with previous studies suggesting that transparency of health-related PGT websites is generally poor.^{18, 19}

Despite three of the four PGT-NG testing companies targeting a range of HPs, this research has revealed that uptake of test facilitation is particularly prevalent in the CAM community in Australia. Most of these CAM providers relied on information taken directly from the PGT-NG company websites, decreasing understandability scores despite the lay target-audience. It is

clearly a challenge to communicate scientifically accurate information in the context of consumer-orientated advertising.³² This reliance on PGT-NG testing company website information also brings into question the ability of these CAM providers to deliver adequate pre-test advice to consumers.

While test facilitation through a HP aims to minimise the risk that consumers may misinterpret their results, there remains concern that many providers may not be competent to interpret results.³⁵ Further, although guidelines published by Grimaldi et al⁶ are a positive step towards ensuring PGT-NG is standardised and evidence-based, the authors argue that determining the clinical utility of associated dietary advice is the responsibility of the HP. Although it has been suggested that nutritionists and dietitians are well placed to deliver nutritional genomic advice to clients²⁷, this group has reported low knowledge and low confidence in Australia and internationally^{36,37}. In light of these findings,^{36,37} and the poor quality of the online information by CAM providers, the assumption made by Grimaldi et al⁶ may not yet be entirely appropriate.

Clearly there is a need for greater training and educational support for HPs practising both conventional and complementary/alternative medicine who are interested in adopting PGT-NG into their practice.³⁸ Recognising this, Hurlimann et al⁷ produced pre- and post-test counselling recommendations that should be addressed by HPs facilitating PGT-NG. The authors reinforce that pre- and post-test counselling, along with HP training, is essential to ensure consumers make informed choices to pursue PGT-NG.⁷

Currently in Australia, however, there is a distinct lack of independent bodies offering courses in nutritional genomics appropriate for HPs to upskill, as most require the completion of further tertiary studies.³⁸ Instead, HPs must acquire accreditation with certain PGT-NG testing companies before offering testing. Thus, many HPs have no choice but to rely on the testing companies for training and support. Already, interviews with North American HPs who facilitated health-related PGT with their patients revealed that most relied heavily upon testing company resources to

counsel their patients.²⁶ As with HPs using testing company information for their own websites, this reliance represents a conflict-of-interest, such that clients may receive biased or inadequate advice.

The PGT-NG testing company websites analysed in this study offered no information regarding what the in-house training involves and how competency is assessed. While the websites were professional in appearance, the poor ratings given to the PGT-NG testing company websites, which were then reflected in the information provided by the CAM providers, is a worrying indication of the quality of the training.

Given the potential that nutritional genomics offers the field of nutrition and dietetics, it is essential that the workforce is adequately supported to provide clients with high quality care and advice.^{7, 36} One place to start is with the information available to consumers online. Thus, the findings from this study have revealed a need for greater nutritional genomics knowledge amongst HPs, and the importance of dissemination of accurate online information to the public.

Two websites had ceased advertising PGT-NG within the timeframe of the data collection and analysis. Thus, given how rapidly the area is changing, findings only represent a 'snapshot in time'. The study also only depicts the Australian landscape, however, given the growing popularity of nutritional genomics, findings can still inform a range of HPs, both nationally and internationally.

The authors propose a basic checklist of information that should be included on a website offering PGT-NG facilitation (see supplementary Table 1). Transparent information will help ensure both the public and HPs interested in PGT-NG are supported and informed.

References

1. Hurlimann T., Menuz V., Graham J., Robitaille J., Vohl M. C., Godard B. Risks of nutrigenomics and nutrigenetics? What the scientists say. *Genes & Nutrition*. 2014;9(1):370.
2. smartDNA. smartDNA Genomic Wellness Test Brochure. Melbourne, Australia: smartDNA; 2016.
3. Sanderson S., Zimmern R., Kroese M., Higgins J., Patch C., Emery J. How can the evaluation of genetic tests be enhanced? Lessons learned from the ACCE framework and evaluating genetic tests in the United Kingdom. *Genetics in Medicine*. 2005;7(7):495-500.
4. National Pathology Accreditation Advisory Council. The provision of direct to consumer genetic tests: Guiding Principles for Providers. Canberra: Department of Health; 2014. p. 3-4.
5. Pavlidis C., Lanara Z., Balasopoulou A., Nebel J.-C., Katsila T., Patrinos G. Meta-Analysis of Genes in Commercially Available Nutrigenomic Tests Denotes Lack of Association with Dietary Intake and Nutrient-Related Pathologies. *Omics*. 2015;19(9):512-20.
6. Grimaldi K. A., van Ommen B., Ordovas J. M., Parnell L. D., Mathers J. C., Bendik I., Brennan L., Celis-Morales C., Cirillo E., Daniel H., de Kok B., El-Sohehy A., Fairweather-Tait S. J., Fallaize R., Fenech M., Ferguson L. R., Gibney E. R., Gibney M., Gjelstad I. M. F., Kaput J., Karlsen A. S., Kolossa S., Lovegrove J., Macready A. L., Marsaux C. F. M., Alfredo Martinez J., Milagro F., Navas-Carretero S., Roche H. M., Saris W. H. M., Traczyk I., van Kranen H., Verschuren L., Virgili F., Weber P., Bouwman J. Proposed guidelines to evaluate scientific validity and evidence for genotype-based dietary advice. *Genes & Nutrition*. 2017;12:35.
7. Hurlimann T., Robitaille J., Vohl M. C., Godard B. Ethical considerations in the implementation of nutrigenetics/nutrigenomics. *Personalized Medicine*. 2017;14(1):75-83.
8. Ng P. C., Murray S. S., Levy S., Venter J. C. An agenda for personalized medicine. *Nature*. 2009;461(7265):724-6.
9. Camp K. M., Trujillo E. Position of the Academy of Nutrition and Dietetics: nutritional genomics. *Journal of the Academy of Nutrition and Dietetics*. 2014;114(2):299-312.
10. Guasch-Ferré M., Dashti H. S., Merino J. Nutritional Genomics and Direct-to-Consumer Genetic Testing: An Overview. *Advances in Nutrition*. 2018;9(2):128-35.
11. Mathers J. Nutrigenomics in the modern era. *The Proceedings of the Nutrition Society*. 2016:1-11.
12. Corella D., Peloso G., Arnett D. K., Demissie S., Cupples A. C., Tucker K., Lai C., Parnell L. D., Coltell O., Lee Y., Ordovas J. M. ApoA2, dietary fat, and body mass index: Replication of a gene-diet interaction in 3 independent populations. *Archives of Internal Medicine*. 2009;169(20):1897-906.
13. Janssens A. C. J., Bunnik E. M., Burke W., Schermer M. H. Uninformed consent in nutrigenomic research. *European Journal of Human Genetics*. 2017.
14. Ashford J. W. APOE genotype effects on Alzheimer's disease onset and epidemiology. *Journal of Molecular Neuroscience*. 2004;23(3):157-65.

15. Messner D. A. Informed choice in direct-to-consumer genetic testing for Alzheimer and other diseases: lessons from two cases. *New Genetics and Society*. 2011;30(1):59-72.
16. Human Genetics Commission. Common Framework of Principles for direct-to-consumer genetic testing services. United Kingdom: Human Genetics Commission; 2010.
17. Hudson K., Javitt G., Burke W., Byers P., ASHG Social Issues Committee. ASHG statement on direct-to-consumer genetic testing in the United States. *The American Journal of Human Genetics*. 2007;81(3):635.
18. Hall J. A., Gertz R., Amato J., Pagliari C. Transparency of genetic testing services for 'health, wellness and lifestyle': analysis of online prepurchase information for UK consumers. *European Journal of Human Genetics*. 2017.
19. Lewis N. P., Treise D., Hsu S. I., Allen W. L., Kang H. DTC genetic testing companies fail transparency prescriptions. *New Genetics and Society*. 2011;30(4):291-307.
20. Sterling R. The on-line promotion and sale of nutrigenomic services. *Genetics in medicine*. 2008;10(11):784-96.
21. Covolo L., Rubinelli S., Orizio G., Gelatti U. Misuse (and abuse?) of the concept of empowerment: the case of online offer of predictive direct-to-consumer genetic tests. *Journal of Public Health Research*. 2012;1(1):3.
22. Nordgren A. Neither as harmful as feared by critics nor as empowering as promised by providers: risk information offered direct to consumer by personal genomics companies. *Journal of Community Genetics*. 2014;5(1):59-68.
23. Celis-Morales C., Livingstone K. M., Marsaux C. F., Macready A. L., Fallaize R., O'Donovan C. B., Woolhead C., Forster H., Walsh M. C., Navas-Carretero S., San-Cristobal R., Tsigoti L., Lambrinou C. P., Mavrogianni C., Moschonis G., Kolossa S., Hallmann J., Godlewska M., Surwillo A., Traczyk I., Drevon C. A., Bouwman J., van Ommen B., Grimaldi K., Parnell L. D., Matthews J. N., Manios Y., Daniel H., Martinez J. A., Lovegrove J. A., Gibney E. R., Brennan L., Saris W. H., Gibney M., Mathers J. C., Food4Me S. Effect of personalized nutrition on health-related behaviour change: evidence from the Food4me European randomized controlled trial. *International Journal of Epidemiology*. 2016.
24. Frankwich K., Egnatios J., Kenyon M., Rutledge T., Liao P., Gupta S., Herbst K., Zarrinpar A. Differences in Weight Loss Between Persons on Standard Balanced vs Nutrigenetic Diets in a Randomized Controlled Trial. *Clinical Gastroenterology and Hepatology*. 2015;13(9):1625-32.
25. Bunnik E. M., Janssens A., Schermer M. H. Informed Consent in Direct-to-Consumer Personal Genome Testing: The Outline of A Model between Specific and Generic Consent. *Bioethics*. 2014;28(7):343-51.
26. McGowan M. L., Fishman J. R., Settersten R. A., Jr., Lambrix M. A., Juengst E. T. Gatekeepers or intermediaries? The role of clinicians in commercial genomic testing. *PLoS One*. 2014;9(9):e108484.
27. Kicklighter J. R., Dorner B., Hunter A. M., Kyle M., Prescott M. P., Roberts S., Spear B., Hand R. K., Byrne C. Visioning report 2017: A preferred path forward for the nutrition and dietetics profession. *Journal of the Academy of Nutrition and Dietetics*. 2017;117(1):110-27.
28. Australia N. Nutritionist or dietitian - which is for me? [cited 2018]. Available from: <http://www.nutritionaustralia.org/national/nutritionist-or-dietitian-which-me>.
29. Association A. N. T. [cited 2018]. Available from: <http://www.australiannaturaltherapistsassociation.com.au/>.
30. Harris P. A., Taylor R., Thielke R., Payne J., Gonzalez N., Conde J. G. Research electronic data capture (REDCap)—A metadata-driven methodology and workflow process for providing translational research informatics support. *Journal of Biomedical Informatics*. 2009;42(2):377-81.

31. Human S. U. D. o. H. a. Research-based web design and usability guidelines Washington DC: US Government Printing Office; 2006.
32. Lachance C. R., Erby L. A., Ford B. M., Allen V. C., Jr., Kaphingst K. A. Informational content, literacy demands, and usability of websites offering health-related genetic tests directly to consumers. *Genetics in Medicine*. 2010;12(5):304-12.
33. Stockmeyer N. O. Using Microsoft Word's readability program. 2009.
34. Braun V., Clarke V. Using thematic analysis in psychology. *Qualitative Research in Psychology*. 2006;3(2):77-101.
35. Weir M., Morin K., Ries N., Castle D. Canadian health care professionals' knowledge, attitudes and perceptions of nutritional genomics. *British Journal of Nutrition*. 2010;104(8):1112-9.
36. Collins J., Bertrand B., Hayes V., Li S. X., Thomas J., Truby H., Whelan K. The application of genetics and nutritional genomics in practice: an international survey of knowledge, involvement and confidence among dietitians in the US, Australia and the UK. *Genes & Nutrition*. 2013;8(6):523-33.
37. Cormier H., Tremblay B., Paradis A. M., Garneau V., Desroches S., Robitaille J., Vohl M. C. Nutrigenomics—perspectives from registered dietitians: a report from the Quebec-wide e-consultation on nutrigenomics among registered dietitians. *Journal of Human Nutrition and Dietetics*. 2014;27(4):391-400.
38. Collins J., Adamski M. M., Twohig C., Murgia C. Opportunities for training for nutritional professionals in nutritional genomics: What is out there? *Nutrition & Dietetics*. 2017.

Figure 1 Novel framework for analysing online personal genomic testing for nutrition and wellness content

Category	Description	Rating Metric
Content	17 items relating to informational content (see Table 1)	Multiple choice-multiple answer, Yes/No
Service Information	Healthcare provider and consultation type (e.g. face-to-face)	Multiple choice-multiple answer
Credibility of the service	Credibility of the service provider (e.g. qualifications listed)	Yes/No
Currency	Date last updated easily viewable	Yes/No
Balanced nature of the information	Presence of at least one benefit, risk and/or limitation	Yes/No
Emotional appeals	Empowerment, entitlement, peace of mind, autonomy, fear, transformation, regret and excitement	Scale: 1 = none, 2 = some, 3 = average, 4 = a lot, 5 = all
Images	Scientific, people, emotions, food and nature	Yes/No

Quality

Three content items were used to assess quality (see Table 1)

- * Explanation of nutritional genomics
- * Explanation of the genes analysed and their relevance to health
- * Explanation of how the genetic variations are considered clinically valid

For websites that included these items, the explanations were rated on a Likert scale (1 = *not at all*, 2 = *somewhat*, 3 = *average*, 4 = *mostly*, 5 = *all the time*) across 5 dimensions¹:

1. Depth
2. Accuracy
3. Exaggeration
4. Understandability
5. Credibility

Website text was also collected for each assessment category. Screenshots were taken following analysis.

¹ Criteria for Assessing the Quality of Information:
 Depth The detail provided in the information.

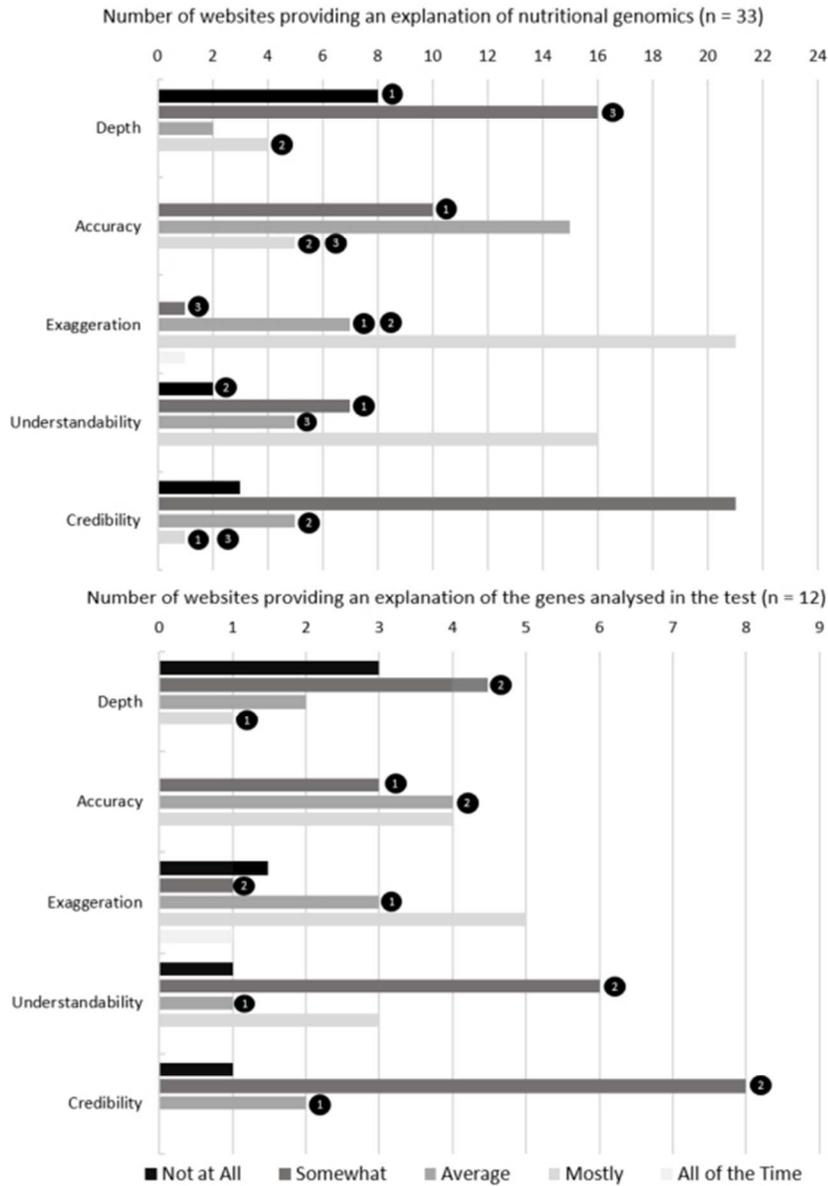
Accuracy The degree to which the information was correct, as validated by the current literature.

Exaggeration The extent to which the information was overstated or overemphasised.

Understandability How easy the text was to comprehend as a layperson. Encompassed the use of plain language and correct spelling and grammar. Aided by score from the Flesch Reading Ease Formula^a a freely available online scoring program into which website text was copied.

Credibility The perceived trustworthiness of the information. Included the tone of language, use of scientific evidence and the professionalism of the website.

^a Where 0-30.0 = Very difficult, 30.0-60.0= Difficult, 60.0-70.0= Plain English, 80.0-100.0= Easy



The bars represent the scores for the healthcare provider websites, and the circles represent the scores for the testing companies (1, 2 and 3).

Note: Company 3 did not provide an explanation of the genes analysed in the test. None of the healthcare provider websites included the third quality assessment item, an explanation of how a genetic variant is considered clinically valid.